

Three-dimensional manipulation of single cells using surface acoustic waves

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Contributed by Subra Suresh, December 17, 2015 (sent for review November 23, 2015; reviewed by Ares Rosakis and M. Taher A. Saif)

The ability of surface acoustic waves to trap and manipulate micrometer-scale particles and biological cells has led to many applications involving “acoustic tweezers” in biology, chemistry, engineering, and medicine. Here, we present 3D acoustic tweezers, which use surface acoustic waves to create 3D trapping nodes for the capture and manipulation of microparticles and cells along three mutually orthogonal axes. In this method, we use standing-wave phase shifts to move particles or cells in-plane, whereas the amplitude of acoustic vibrations is used to control particle motion along an orthogonal plane. We demonstrate, through controlled experiments guided by simulations, how acoustic vibrations result in micromanipulations in a microfluidic chamber by invoking physical principles that underlie the formation and regulation of complex, volumetric trapping nodes of particles and biological cells. We further show how 3D acoustic tweezers can be used to pick up, translate, and print single cells and cell assemblies to create 2D and 3D structures in a precise, noninvasive, label-free, and contact-free manner.

3D acoustic tweezers | cell printing | 3D cell manipulation | cell assembly | 3D particle manipulation

The ability to precisely manipulate living cells in three dimensions, one cell at a time, offers many possible applications in regenerative medicine, tissue engineering, neuroscience, and biophysics (1–3). However, current bioprinting methods are generally hampered by the need to reconstruct and mimic 3D cell-to-cell communications and cell–environment interactions. Because of this constraint, bioprinting requires accurate reproduction of multicellular architecture (4, 5). Several approaches have been developed to produce complex cell patterns, clusters, assembled arrays, and even tissue structures. These approaches use many disparate technologies which include optics, magnetic and electrical fields, injection printing, physical or geometric constraints, or surface engineering (6–11). However, there is currently a paucity of a single method that can facilitate the formation of complex multicellular structures with high precision, high versatility, multiple dimensionality, and single-cell resolution, while maintaining cell viability, integrity, and function. As a result, there is a critical need to develop new methods that seek to overcome these limitations.

“Acoustic tweezers,” which manipulate biological specimens using sound waves, offer several unique advantages (12, 13) in comparison with other techniques. First, the acoustic tweezers technology is the only active cell-manipulation method using gentle mechanical vibrations that do not alter cell characteristics. Acoustic vibrations create a pressure gradient in the medium to move suspended microobjects and cells, thereby resulting in a contamination-free, contact-less, and label-free method for cell manipulation. Sound waves are preferred for cell manipulation for the following reasons: (i) cells maintain their native state (e.g., shape, size, reflective index, charge, or polarity) in the absence of surface modification or labeling; and (ii) cells can remain in their original culture medium or extracellular matrix gel solution. Furthermore, acoustic tweezers are safe tools for biological manipulation. Acoustic tweezers

involving sound waves have a power intensity that is ~10 million times lower than that of optical tweezers. Therefore, acoustic tweezers have minimal impact on cell viability and function. Moreover, acoustic tweezers operate at a power intensity and frequency similar to the widely used medical ultrasound method that is well known as a safe technique for sensitive clinical applications such as imaging of a fetus in the mother’s womb. Finally, the acoustic tweezers platform can be constructed as a single, integrated microdevice without any moving parts or complicated setup procedures. This feature offers additional advantages for ease of use and versatility.

Thus far, sound waves have been demonstrated to successfully perform many microscale functions such as the separation, alignment, enrichment, patterning, and transportation of cells and microparticles (12–17). None of these acoustic approaches, however, has hitherto demonstrated controlled 3D manipulation of single cells. The lack of these manipulation methods is mainly attributable to the limited understanding of the relationship between a 3D acoustic field and the induced acoustic streaming. Using 2D acoustic waves often results in insufficient control of a single cell in 3D space. Here, we report a standing surface acoustic wave (SSAW)-based technique that is able to create and independently manipulate an array of stable 3D trapping nodes.

In this work, we illustrate the relation between the acoustic vibrations, the acoustic field produced by SSAWs, and the

Significance

We present 3D acoustic tweezers, which can trap and manipulate single cells and particles along three mutually orthogonal axes of motion by recourse to surface acoustic waves. We use 3D acoustic tweezers to pick up single cells, or entire cell assemblies, and deliver them to desired locations to create 2D and 3D cell patterns, or print the cells into complex shapes. This technology is thus shown to offer better performance over prior cell manipulation techniques in terms of both accurate and precise motion in a noninvasive, label-free, and contactless manner. This method offers the potential to accurately print 3D multicellular architectures for applications in biomanufacturing, tissue engineering, regenerative medicine, neuroscience, and cancer metastasis research.

Author contributions: F.G., Z.M., M.D., S.S., and T.J.H. designed research; F.G., Z.M., Y.C., Z.X., P.L., L.R., and J.L. performed research; F.G., Z.M., M.D., S.S., and T.J.H. analyzed data; and F.G., Z.M., J.P.L., J.Y., M.D., S.S., and T.J.H. wrote the paper.

Reviewers: A.R., California Institute of Technology; and M.T.A.S., University of Illinois at Urbana-Champaign.

Conflict of interest statement: F.G., Z.M., M.D., S.S., and T.J.H. have filed a patent based on the work presented in this paper.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1524813113/-DCSupplemental.

resulting streaming in a microfluidic chamber by recourse to both modeling and controlled experimental validation. Unlike Rayleigh streaming in bulk acoustic wave devices, the unique acoustic streaming (i.e., streaming motion caused by acoustic oscillation) pattern in a SSAW device determines how objects are lifted up from the substrate surface. By regulating the 3D distributed acoustic field and acoustic streaming, induced by two superimposed pairs of orthogonally placed SSAWs, we achieved an array of 3D trapping nodes in a microfluidic chamber. By independently tuning the relative phase angle of each SSAW or by varying the input power, the position of these 3D trapping nodes can be precisely controlled in a 3D environment. Using this concept, we demonstrate 3D trapping and three-axis manipulation of single microparticles and single biological cells. Finally, we illustrate how this 3D acoustic tweezers technique can be used for printing with live cells and for producing prescribed cell culture patterns.

Results

Working Mechanism of Three-Dimensional Acoustic Tweezers. To manipulate suspended objects along three orthogonal axes with surface acoustic waves (SAWs), it is necessary to form 3D trapping nodes and to move these nodes precisely in three dimensions. To achieve this objective, we created a 2D displacement field on a lithium niobate (LiNbO_3) piezoelectric substrate by superimposing two mutually orthogonal pairs of interdigital transducers (IDTs) that produce SSAWs. The propagation of these waves through a microfluidic chamber produces an acoustic field distributed in three dimensions and induces acoustic streaming which creates stable 3D trapping nodes within the fluid-filled chamber. The positions of these 3D trapping nodes were precisely manipulated in the transverse (x), longitudinal (y), or vertical (z) directions by adjusting the phase angle of each individual IDT pair (x or y axis motion control) or the input acoustic power (z axis motion control), respectively.

Two orthogonal SSAWs were used to perform particle/cell manipulation in a microfluidic chamber. Two pairs of IDTs were deposited onto a 128° YX-cut LiNbO_3 substrate, which were positioned along the x and y axes. The IDTs were made up of 40 pairs of electrodes with a $75\text{-}\mu\text{m}$ width of each finger electrode and a $75\text{-}\mu\text{m}$ spacing between fingers, and a 1-cm aperture. A polydimethylsiloxane (PDMS) layer with a $1.8\text{ mm} \times 1.8\text{ mm} \times 100\text{ }\mu\text{m}$ fluidic chamber was bonded to the substrate, at the center of the two orthogonal pairs of IDTs. Fig. 1A shows a schematic diagram of the device. Each pair of IDTs was individually connected to a double-channel radio-frequency (RF) signal generator and two amplifiers, which generated SSAWs with different frequencies and independent SSAW phase angle control. Once the pairs of IDTs were activated, a 2D displacement field (including both longitudinal and transverse vibrations)

was produced on the surface of the LiNbO_3 substrate (18). The acoustic waves induced by these surface vibrations propagated in the fluid, reflected by the chamber walls, and established a 3D, differential Gor'kov potential field (19). Meanwhile, these surface vibrations also induced 3D acoustic streaming in the microfluidic chamber. The interaction of the fluidic and acoustic fields produced 3D trapping nodes within the chamber (Fig. 1B). Along the vertical direction, suspended microobjects were pushed and levitated to a stable trapping node because of the competitive interaction of the acoustic radiation force, the gravitational force, the buoyancy force, and the Stokes drag force induced by acoustic streaming. After increasing the input acoustic power, the vertical trapping position was raised because of a rebalancing of these forces. Along the horizontal plane (x - y plane), the objects were pushed toward the center of the 3D trapping node. These trapping positions can be independently manipulated along the transverse (x) or longitudinal (y) directions by relocating the 2D displacements via changing the input phase angle. As a result, microobjects were trapped into a 3D node and manipulated along three axes within a microfluidic chamber (Fig. 1A).

SAW Vibrations Induce Three-Dimensional Acoustic and Fluidic Fields.

To create a 3D trapping node in a microfluidic chamber, the mechanism by which SSAWs manipulate objects within such a chamber must be understood. A simple acoustic tweezers device, consisting of a PDMS chamber and a pair of IDTs (positioned along the x axis of a 128° YX LiNbO_3 substrate), was used to investigate this mechanism. Two sets of SAWs, traveling toward each other, were produced after applying a RF signal to the IDTs. A SSAW was formed via the superposition of these SAWs. This type of resulting wave is considered a Rayleigh wave. These waves confine most of the energy to the surface because of the exponential decay of their amplitude with the depth of the substrate. In addition, these waves include both longitudinal and transverse vibrations with a phase lag on the substrate. Once the waves interfere with the liquid in the microfluidic chamber, periodically distributed vibrations are created which lead to periodically distributed acoustic fields and acoustic streaming in the microfluidic chamber (Fig. 2A). We developed a numerical model that could account for the Gor'kov potential and acoustic streaming in a lateral plane (x - z plane) as well as the acoustic radiation force acting on suspended particles. The model considers the effects of the transverse and longitudinal vibrations on the liquid, and the acoustic reflection and transmission at the interface between the fluid and PDMS. A detailed description of the numerical model can be found in *SI Text, Theoretical Framework and Model Setup* (see also Fig. S1 and Table S1).

The periodically distributed transverse vibrations are considered as the primary perturbation source for generating an acoustic field in a liquid chamber, whereas the longitudinal vibrations decay very fast and have little impact on the acoustic field. Fig. 2B predicts the distribution of the Gor'kov potential along the x - z plane. The regions of maximum Gor'kov potential (in red color), known as pressure antinodes (ANs), are located atop places with a displacement antinode of the transverse vibrations (DATVs), whereas minimum regions (in blue color), known as pressure nodes (PNs), occur atop a displacement node of transverse vibrations (DNTVs). The distribution of PNs and ANs coincide with the location of DNTVs and DATVs on the substrate's surface; therefore, the distance between adjacent PNs or ANs is half-wavelength of a SAW. Because of the gradient of the Gor'kov potential, an acoustic radiation force was generated to push the suspended cells or microparticles from ANs to PNs. The experimental results show that all of the suspended $10.1\text{-}\mu\text{m}$ diameter polystyrene particles were pushed to the parallel PNs by the acoustic radiation force (Fig. 2C). The dependence of the acoustic radiation force on input power was investigated, and the results were plotted (Fig. 2D and *SI Text, Data Analysis*).

In addition to the aforementioned acoustic field, the vibrations also induced acoustic streaming. Both the transverse and

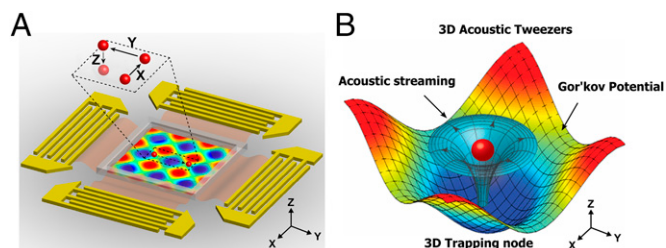


Fig. 1. Illustration of our 3D acoustic tweezers. (A) Configuration of the planar surface acoustic wave generators, used to generate volumetric nodes, surrounding the microfluidic experimental area. The *Inset* indicates a single particle within a "3D trapping node," which is independently manipulated along the x , y , or z axes. (B) Numerical simulation results mapping the acoustic field around a particle that shows the physical operating principle for the 3D acoustic tweezers. The 3D trapping node in the microfluidic chamber is created by two superimposed, orthogonal, standing surface acoustic waves and the induced acoustic streaming.

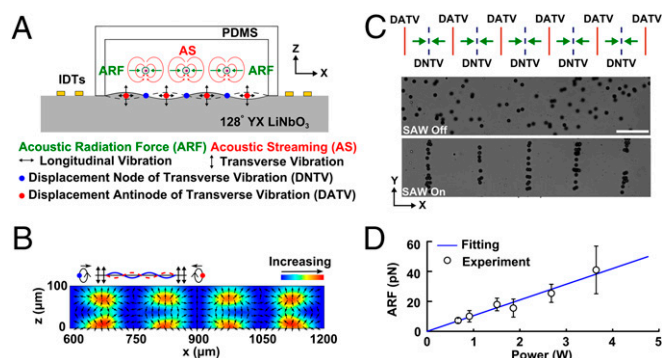


Fig. 2. Study of the acoustic radiation force. (A) Illustration showing how a standing surface acoustic wave enables particle manipulation in a microfluidic chamber. The longitudinal and transverse vibrations are generated by the propagation of standing waves along the x axis on a 128° YX lithium niobate substrate, which enables displacement motions along the surface. These displacements introduce an acoustic field and acoustic streaming in the microfluidic chamber which can push and levitate suspended objects. Numerical simulation results show the distribution of Gor'kov potential and the acoustic radiation force along the x - z plane induced by the transverse vibrations (B). The red regions indicate a high Gor'kov potential, whereas the blue regions indicate a low Gor'kov potential, and the arrows from the red region to the blue region show the direction of the acoustic radiation forces. (C) Diagram of the radiation force distribution between the periodic array of nodes and antinodes and the correlated experimental manipulation of $10.1\text{-}\mu\text{m}$ polystyrene particles along the x - y plane without, and then with, an applied standing surface acoustic wave. (D) The experimental results show the dependence of the acoustic radiation force on the input power. (Scale bar: $100\ \mu\text{m}$.)

longitudinal vibrations attenuate in a thin boundary layer close to the substrate and result in a particular streaming pattern in the microfluidic chamber (Fig. 2A). Our numerical results describe the streaming vortices and the periodic distribution of the acoustic streaming in the x - z plane, as shown in Fig. 3A. The streaming flows rise up from DNTVs on the substrate, rotate clockwise or counter clockwise to the two nearby DATVs, and then rise up again from the original DNTVs. In contrast to Rayleigh streaming (18, 20), which is driven by standing bulk acoustic waves, this streaming, which is induced by SSAWs, has a reversed direction with respect to the streaming vortices. However, these streaming processes share the same spatial distribution with four vortices in one wavelength. This particular streaming plays an important role in the vertical manipulation of microparticles/cells. Fig. 3B plots the numerical streaming pattern over Gor'kov potential in the x - z plane. The streaming flows rise vertically from DNTVs on the substrate toward the PNs where particles are trapped.

To validate our modeling prediction, we performed an experiment to investigate SSAW-induced acoustic streaming within the microfluidic chamber. We started the experiment by pre-marking the location of DNs by patterning $10.1\text{-}\mu\text{m}$ polystyrene particles into parallel lines. Then, we fixed the focal plane of the microscope near the substrate. Once the SSAW was applied, the $1\text{-}\mu\text{m}$ fluorescent particles, used as the markers to trace streaming lines, flowed up from the DNTVs, defocused, and then flowed down to the neighboring DATVs in the x - y plane (Movie S1). A time series of frames, captured from the movie, was superimposed to show the streaming pattern (Fig. 3C). The magnitude of streaming velocity increases linearly with the input power (Fig. 3D and SI Text, Data Analysis). Based on the quantitative values of the acoustic radiation force and acoustic streaming, we calculated the quantitative relationship between the input powers and the amplitudes of the transverse vibrations (\sim several nanometers) on the substrate (Fig. S2 and SI Text, Calibration of Vibration Amplitude).

Manipulation in the Vertical Direction. Based on our model of the vertical distribution of the acoustic and fluidic fields induced by a SSAW, we explored the vertical manipulation of trapped objects. Using the aforementioned device with one SSAW, we examined the forces acting on $10.1\text{-}\mu\text{m}$ polystyrene particles in detail. Along the horizontal plane (x - y plane), the suspended particles experience a greater acoustic radiation force than the Stokes drag force (induced by the acoustic streaming), causing the particles to be pushed toward the PNs. Along the vertical direction, the particle experiences acoustic radiation force, Stokes drag force, gravitational force, and buoyancy force as shown in Fig. 4A. Because of the minimal Gor'kov potential and small gradient within the PNs, the acoustic radiation force in the PNs is comparable to the opposing forces. Once these forces are balanced, the suspended object will be trapped in a stable, vertical position. By adjusting the vibration amplitude along the substrate through tuning the input power of the SAWs, the acoustic radiation force and the Stokes drag force along the z direction can be regulated accordingly. As a result, the trapped object will move to a new stable position along the z axis.

In addition, the forces acting on a single $10.1\text{-}\mu\text{m}$ polystyrene particle along the vertical direction are quantitatively investigated by our model (SI Text, Theoretical Framework and Model Setup). Fig. 4B shows the total force acting on the particle along the z direction in the chamber ($x = 600\ \mu\text{m}$) as a function of the input power (Fig. 4B). The stable trapping positions, where the net force is zero, are marked with blue circles. The trap position rises as the input power increases. To validate our model, we conducted additional experiments in the vertical direction of the chamber. By applying SSAW with a frequency of $13\ \text{MHz}$ and an input power of $200\ \text{mW}$, the particles were levitated to the PNs. A single trapped particle can be levitated toward the top of the microfluidic chamber by increasing the input power from 200 to $1500\ \text{mW}$ (Fig. 4C and Movie S2). The focal plane of the microscope was kept at the same position in Fig. 4C; therefore, defocusing indicated that a single particle was levitated vertically as the input power increased. The absolute position of the trapped particle in the vertical direction was calibrated to a reference plane and could be readily obtained by measuring the change in focal planes while tracking the particle. With this method, we quantitatively characterized the vertical trapping

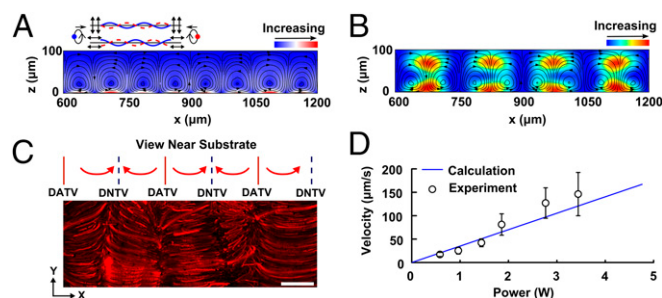


Fig. 3. Study of acoustic streaming. (A) Simulation results showing the acoustic streaming patterns in the x - z plane, as induced by both the longitudinal and transverse vibrations. The streaming lines rotate clockwise or counterclockwise from a displacement node to two neighboring displacement antinodes. The arrows indicate the rotation directions. The red color nearby the substrate surface indicate the high magnitudes of the streaming velocities, whereas the blue color shows low velocities. (B) Simulation results of the distribution of Gor'kov potential overlaid on top of the acoustic streaming results in the microfluidic chamber along the x - z plane. (C) Visualization of the acoustic streaming pattern along the periodic array of displacement nodes and antinodes and the overlapped experimental acoustic streaming lines along the x - y plane, under a standing surface acoustic wave. Path lines formed from $1\text{-}\mu\text{m}$ red fluorescent polystyrene particles as they flow up from the premarked displacement nodes and rotating as our simulations predicted. (D) Experimental results showing the dependence of the magnitudes of the streaming velocities on the input power. (Scale bar: $100\ \mu\text{m}$.)

microfluidic chamber and maintain any desired formation of the trapping array, which results in a significant improvement in manipulation dexterity, compared with our previous work (14). By demonstrating a vertical range from the substrate surface to the ceiling of the microfluidic chamber, our approach can manipulate microparticles within the entire volume of a microfluidic chamber. Our 3D acoustic tweezers provide new possibilities for massively parallel and multiaxis manipulation.

Cell Printing with Three-Dimensional Acoustic Tweezers. To explore potential practical applications of this technology, we performed 3D printing of living cells onto a substrate with customized cell patterns using our 3D acoustic tweezers. Our earlier work (14, 15) demonstrated that the use of acoustic tweezers had no discernible effect on cell viability, functionality, and gene expression (14, 15). When an acoustic field was applied, living single cells were captured into the 3D trapping nodes. Then, cells were transported along the x or y directions in a horizontal plane by tuning the phase angle of each IDT pair accordingly. After the delivery of the cells above the target locations, the trapped cells were lowered onto the substrate or onto other cells that were already in place, by tuning the input power of the acoustic field. As a result, the cells were printed with precise control of the cell number, spacing, and configuration. To demonstrate 3D printing of live cells, we captured a single suspended 3T3 mouse fibroblast and transported it to a desired location on the substrate after injecting a cell suspension into the microfluidic chamber (Fig. 6A, indicated with red arrow). Following placement via our 3D acoustic tweezers, the cells started to adhere and then spread on to the substrate. In this way, a linear cell array was created by depositing cells one by one (Fig. 6A). We could also position another 3T3 cell on top of the previously adhered cells by using the precise control of 3D acoustic tweezers (Fig. 6A, indicated with blue arrow) to form a 3D cell assembly. To further demonstrate the capabilities of the 3D acoustic tweezers, we applied our technology to print living cells into complicated configurations, such as numbers and letters. Through single-cell seeding of HeLa S3 cells using acoustic control, we printed cells into the following patterns: “3” “D” “A” “T,” as an acronym for “Three-Dimensional Acoustic Tweezers” (Fig. 6B). Cells were able to adhere to the surface and then spread along the surface (Fig. 6B). We also demonstrated that the adhered cells were able to split and proliferate in the prescribed morphological patterns (Fig. S3). Hence, we have demonstrated a versatile acoustic cell printing technique that is capable of single cell resolution and has the ability to manipulate multiple cell types without affecting cell viability.

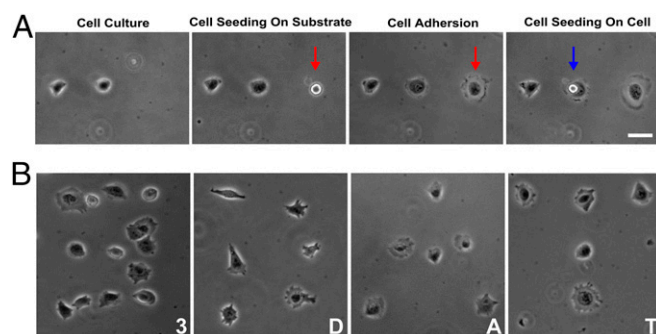


Fig. 6. Printing living cells with 3D acoustic tweezers. (A) Single-cell printing. After previously deposited 3T3 cells were attached to the substrate, another single cell was picked up, transported, and dropped at the desired location on the substrate (indicated by red arrow) or on top of another cell (indicated by blue arrow). The single cell adhered and spread along the surface. (B) Formation of arbitrary cell culture patterns forming a “3” “D” “A” and “T” by printing of single HeLa S3 cells via 3D acoustic tweezers. (Scale bar: 20 μm .)

Discussion

In this work, we have demonstrated 3D manipulation of microparticles and biological cells in a microfluidic chamber with 2D SSAWs. We investigated, through modeling and experiment, the mechanism behind 3D trapping with acoustic tweezers. We showed that 3D trapping originates from the interactions between induced acoustic fields and acoustic streaming in a microfluidic chamber via acoustic vibrations of the substrate. The transverse vibrations of SSAWs induce longitudinal waves into the liquid and establish a Gor’kov potential distribution within the microfluidic chamber. Both the longitudinal and transverse vibrations of SSAWs contribute to the acoustic streaming in the microfluidic chamber; this SSAW-induced streaming differs from Rayleigh streaming associated with bulk acoustic waves, which are only induced by longitudinal vibrations. The vibration amplitude regulates the transverse, out-of-plane position of the trapped objects by rebalancing the acoustic radiation force, the Stokes drag force, the gravitational force, and the buoyancy force. The predictions of the model are validated by our experimental results. This model accounts for the spatial distribution of the Gor’kov potential and acoustic streaming and rationalizes the dependence of acoustic manipulation on vibration parameters. We created 3D trapping node arrays in the microfluidic chamber by superimposing two orthogonal displacement nodes and antinodes. These 3D trapping nodes were then translated horizontally or vertically by tuning the location of the displacement nodes or vibration amplitudes. We further demonstrated 3D manipulation by lifting and pushing microparticles into 3D trapping node arrays, translating them horizontally, and finally allowing them to sink to the surface. In our current IDT design, 3D trapping nodes are created and manipulated in a massively parallel formation. However, the independent operation of a 3D node could be realized with dynamic regulation of the vibrations on the substrate through IDT design and RF signal control. Because it uses gentle acoustic vibrations, our method offers several advantages such as dexterous 3D manipulation, digitally programmable and potentially automatable operation, as well as contactless and label-free handling of cells. Finally, these processes are all done in a simple, low-cost device without any moving parts.

With the current setup and experimental condition used, we can manipulate a single cell or particle and place it at a desired location down to 1- μm accuracy in the x - y plane and 2- μm accuracy in the z direction. Because the acoustic wavelength and input power are both instantaneously tunable during experiments, the spatial accuracy (along x , y , or z direction) of cell/particle placement is in principle only limited by the optical or imaging resolution of the experimental setup. The cell or particle transport time achieved in the present study was in the range of several seconds to a couple of minutes, depending on both the moving velocity and the distance between the cells/particles starting location and the target location. We were able to move a 10- μm particle or a single cell with an average speed of ~ 2.5 $\mu\text{m}/\text{s}$.

Using these 3D acoustic tweezers, we verified the printing of living cells with acoustic manipulation. We demonstrated that single cells could be picked up, delivered to desired locations, and allowed to adhere and spread on the surface or on top of previously deposited cells (Fig. 6A). We printed cells into prescribed adherent patterns by acoustic-based single cell seeding. Three-dimensional acoustic tweezers were used to pattern cells with control over the number of cells, cell spacing, and the confined geometry, which may offer a unique way to print neuron cells to create artificial neural networks for applications in neuron science and regenerative neuron medicine. For example, during peripheral nervous repair, Schwann cells are naturally aligned into structures, “bands of Büngner”, which guide regenerating neurons to reconnect to their peripheral targets (21). Our method may offer a unique way to control the spatial distribution of Schwann cells for optimal nerve regeneration. With spatial resolution control down to a single cell, this technology shows the potential to enable layer-by-layer positioning of living cells to create 3D tissue-like structures. This technology

